The background features a complex pattern of overlapping circles in various colors (white, orange, yellow) on a black field. A bright, multi-colored spot (green, yellow, red) is located at the center of the pattern, from which the circles appear to radiate.

# Number Theory

## Book 3

$$J(x) = Li(x) - \sum_{\rho} Li(x^{\rho}) - \log(2) + \int_x^{\infty} \frac{1}{t(t^2 - 1)\log(t)} dt$$

An elliptic curve  $E(\mathbb{F}_q)$  over a finite field is an additive abelian group which is either cyclic  $C_n$  or a direct sum  $C_n \oplus C_m$  (typically  $n|m$  with  $n$  small).

The order  $|E(\mathbb{F}_q)| \in [q+1-2\sqrt{q}, q+1+2\sqrt{q}]$  by the Hasse bound or HW bound.

$x^2+y^2=-1$  is a curve of genus 0 (nonsingular conic)

Over  $\mathbb{F}_q$  ( $q$  odd) we have  $q+1$  points.

For curves of genus  $g \geq 2$ :  $X(\mathbb{Q})$  is a finite set. Mordell's Conjecture, proved by Gerd Faltings (1983).

eg. for any fixed  $n \geq 3$ , the equation  $x^n + y^n = 1$  has at most finitely many rational points. (For  $n=3$ ,  $g=1$ , elliptic curve, Fermat curve with finitely many rational points. For  $n \geq 7$ ,  $g > 1$ .) This precedes the proof by Wiles & others of Fermat's Last Theorem.

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Elliptic curves have applications in cryptography and primality testing and integer factorization.

AKS: There is a deterministic poly. time algorithm for deciding whether or not a given integer is a prime. Given  $n$ , the running time is bounded by  $c \cdot (\log n)^6$ .

For practical implementation, however, we almost always still use probabilistic algorithms.

Fermat test: Given  $n$ , pick  $a \in \{2, \dots, n-1\}$  randomly. Compute  $a^{n-1} \pmod n$ .

If  $a^{n-1} \not\equiv 1 \pmod n$ , return " $n$  is composite."

If  $a^{n-1} \equiv 1 \pmod n$ , pick a different  $a \in \{2, \dots, n-1\}$  and repeat.

If we stop after 100 trials (say) then we have a one-sided error ("false positive").

Rabin-Miller: improvement of Fermat test. Less likelihood of error but it still has one-sided errors (false positives).

The Elliptic curve test for primality: given  $n$  we do a certain computation.

If  $n$  passes the test then  $n$  is guaranteed to be prime

If  $n$  fails the test, repeat the test with a different point on a different curve.

One-sided error (false negative) if we decide to stop after 100 trials, say.

Combining Rabin-Miller with Elliptic curve test (alternately) it is extremely unlikely to not get a guarantee in 100 trials.

Example using Elliptic Curves to prove primality of  $n = 10^{10} + 19 = 10,000,000,019$  using the Goldwasser-Kilian algorithm (1986?) believed to be poly. time (probabilistic).

We take an elliptic curve over  $\mathbb{Z}/n\mathbb{Z}$  chosen randomly but with a known point

$$P \in E(\mathbb{Z}/n\mathbb{Z}).$$

What can we do with  $F = \mathbb{Z}/n\mathbb{Z}$ ? If  $n = \text{prime}$  then  $F$  is a field.

If  $n = pq$ ,  $p \neq q$  large primes (say hundreds of digits) then  $F$  is not a field.

Imagine  $n = pq$  composite but very difficult to factor if  $p, q$  large.

Given  $a, b \in F$ ,  $\frac{a}{b}$  is practically defined if  $b \neq 0$ .  $\gcd(b, n) \in \{1, p, q\}$

The case  $\gcd(b, n) \in \{p, q\}$  only arises if we are able to factor  $n$ , so we return " $n$  is not a prime". Otherwise  $\gcd(b, n) = 1 = rb + sn$  for some  $r, s \in \mathbb{Z}$  by Euclid's Algorithm

so  $\frac{a}{b} = r$  in  $F$ . The computation proceeds.

If  $n$  is not prime and  $n = kq$ ,  $k > 1$ ,  $q$  prime,

$$\underbrace{E(\mathbb{Z}/n\mathbb{Z})}_{\text{"elliptic curve over } \mathbb{Z}/n\mathbb{Z}} \xrightarrow{\text{almost-homo}} \underbrace{E(\mathbb{Z}/q\mathbb{Z})}_{\text{actual elliptic curve}}$$

meaning: if  $P, Q \in E(\mathbb{Z}/n\mathbb{Z})$  where  $P+Q \in E(\mathbb{Z}/n\mathbb{Z})$  is well-defined

then  $\overline{P+Q} = \overline{P+Q}$  where "bar" is "mod  $q$ ".

but not strictly speaking an elliptic curve since  $\mathbb{Z}/n\mathbb{Z}$  is not a field.

Example Show  $n = 10^{10} + 19 = 10000000019$  is prime. Proof by contradiction.

Supposing  $n$  is composite  $n$  has a prime factor  $p \leq \sqrt{n}$  so  $p < 10^5 = 100,000$ .

This will lead to a contradiction.

Randomly I choose elliptic curves  $y^2 = x^3 + ax + b$  containing a point  $P(3, 5)$ .  
To check that this is an elliptic curve, need  $4a^3 + 27b^2 \not\equiv 0 \pmod{n}$   
discriminant of  $x^3 + ax + b$

$E$	$ E(\mathbb{Z}/n\mathbb{Z}) $
$y^2 = x^3 + x - 5$	$9999935488 = 2^3 \cdot 3 \cdot 19 \cdot 1761 \cdot 7649$
$y^2 = x^3 + 2x - 8$	$1000162104 = 2^3 \cdot 3 \cdot 29 \cdot 547 \cdot 26267$
$y^2 = x^3 + 3x - 11$	$10000053492 = 2^3 \cdot 3 \cdot 11 \cdot 13 \cdot 37 \cdot 239 \cdot 659$
$y^2 = x^3 + 4x - 14$	$9999892527 = \underbrace{3 \cdot 19 \cdot 89}_k \cdot \underbrace{1771199}_q = n$

Corrected!

$$4a^3 + 27b^2 = (27b - 18ax)(x^3 + ax + b) + (4a^2 - 9bx + 6ax^2)(3x + a)$$

To prove that  $n$  is prime, we argue by contradiction. If not there is a prime  $p \leq \sqrt{n}$ ,  $p | n$ .

So  $p < 100,000$ .

Then there is an almost-homomorphism  $\hat{E}(\mathbb{Z}/n\mathbb{Z}) \rightarrow E(\mathbb{F}_p)$

The point  $kP \in E(\mathbb{Z}/n\mathbb{Z})$  has order  $q$ .

We have presumably checked recursively that  $q$  is prime.

"elliptic curve" over  $\mathbb{Z}/n\mathbb{Z}$

actual elliptic curve over  $\mathbb{F}_p$ .

So  $E(\mathbb{F}_p)$  has a point of order  $q$ .

Check:  $mP = 0$   
 $kP \neq 0$ .

$E(\mathbb{F}_p)$  has order  $\leq p+1+2\sqrt{p} \leq 100,632$  but  $q$  is bigger than this, contradiction.

A revised version of Goldwasser-Kilian algorithm replaces the arbitrary elliptic curves with special curves called CM curves where the group order is easier to compute.

Elliptic Curve Factorization Method (Lenstra)

Given  $n$  large integer which is known to be composite, we want to split  $n = ab$ ,  
 $1 < a, b < n$  (nontrivial factorization).

Choose random elliptic curves  $E(\mathbb{Z}/n\mathbb{Z})$  with known point  $P$ .

Do extensive sums in  $\langle P \rangle = \{kP : k \in \mathbb{Z}\}$  until we find a failure of chord-tangent method where division by  $b \in \mathbb{Z}/n\mathbb{Z}$  fails,  $\gcd(b, n) \in \{2, \dots, n-1\}$  giving a splitting of  $n$ .

This is subexponential time in practice, like the best sieve methods.

Applications to public key cryptography:

Classical Diffie-Hellman protocol for key distribution: This allows two parties to agree on a secret key (typically, <sup>long</sup> alphanumeric string) while communicating over an insecure channel.

We want to generate a secret key over an open channel: a secret number which should be hundreds of digits long. How to do this:

Alice and Bob first choose a large prime  $p$  (probably a few hundred digits long). They also agree on a primitive element  $g \pmod p$  i.e. all nonzero elements of  $\mathbb{F}_p$  are powers of  $g$ . (Eg. if  $p=1009$ ,  $g=11$  is primitive. In  $\mathbb{F}_{1009}$

$$\begin{aligned} 11^0 &= 1 \\ 11^1 &= 11 \\ 11^2 &= 121 \\ 11^3 &= 1331 = 322 \\ 11^4 &= 515 \\ 11^5 &= 620 \\ &\vdots \\ 11^{1007} &= 367 \\ 11^{1008} &= 1 \\ 11^{1009} &= 11 \\ &\vdots \end{aligned}$$

where is  $186 \in \mathbb{F}_{1009}$  in this list?

$$11^k = 186 \text{ in } \mathbb{F}_{1009} \text{ for some unique } k \in \{0, 1, 2, \dots, 1007\}$$

What is  $k$ ?  $k=543$  is the answer.

Why is the set of nonzero elements of a finite field a cyclic group?

eg.  $\mathbb{F}_5$  has four nonzero elements forming a multiplicative group of order 4. If this group is a Klein 4-group then the poly.  $x^2-1$  has four roots in  $\mathbb{F}_5$ .

Alice and Bob agree on  $p$  and  $g$  (as above) over the open channel (not secure).

Secretly, Alice chooses  $a \in \{1, 2, \dots, p-2\}$  at random. She computes  $g^a \in \mathbb{F}_p$  and sends this to Bob (over the open channel).

Bob (secretly) chooses  $b \in \{1, 2, \dots, p-2\}$  and computes  $g^b \in \mathbb{F}_p$  and sends this to Alice.

The information  $p, g, g^a, g^b$  have been shared over the open channel;  $a, b$  are secret.

The secret key known to Alice and Bob is  $g^{ab}$ .

Alice computes  $(g^b)^a = g^{ab}$

Bob computes  $(g^a)^b = g^{ab}$

Is there a shortcut to computing  $g^{ab}$  without first finding  $a$  or  $b$ ?  
Not as far as we know.

ElGamal uses Diffie-Hellman

Other groups in place of  $\mathbb{F}_q^* = \{a \in \mathbb{F}_q : a \neq 0\}$

However elliptic curves over finite field can provide the same amount of security with shorter key length

Substitute the group of the curve for  $\mathbb{F}_q^*$

Fix curve,  $P$  point.

Alice chooses large integer  $a$ , computes  $aP$ , sends this to Bob.

Bob - - - - -  $b$ , computes  $bP$ , - - - - - Alice.

The secret key is  $abP = a(bP) = b(aP)$ .

# Modular Forms (and Elliptic Curves) - Neal Koblitz

Example: the  $j$ -invariant,  $j(\tau)$  is usually expressed as a function of  $q = e^{2\pi i \tau}$

First define  $g_2(\tau) = 60 \sum_{(m,n) \neq (0,0) \in \mathbb{Z}^2} \frac{1}{(m+n\tau)^4}$

$g_3(\tau) = 140 \sum_{(m,n) \neq (0,0) \in \mathbb{Z}^2} \frac{1}{(m+n\tau)^6}$

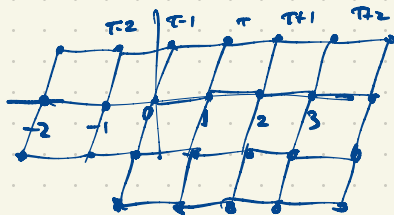
$\eta(\tau) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n)$

$j(\tau) = \frac{1728 \frac{g_2(\tau)^3}{g_2(\tau)^3 - 27g_3(\tau)^2}}{1728} = \frac{1728 g_2(\tau)^3}{(2\pi)^{12} \eta(\tau)^{24}}$   
 $1728 = 12^3$

$= \frac{1}{q} + 744 + 196884q + 21493760q^2 + 864299970q^3 + 20245856256q^4 + \dots$

$g_2(\tau), g_3(\tau)$  depend only on the lattice

$\{m+n\tau : m, n \in \mathbb{Z}\} \subset \mathbb{C}$



So  $j(\tau)$  only depends on this lattice

The Monster (largest sporadic finite simple group)  $M = F_4$  can be written as a subgroup (isomorphic to) of  $GL_{196883}(\mathbb{C})$

Monstrous Moonshine

$\mathbb{C} / \{m+n\tau : m, n \in \mathbb{Z}\} \cong \text{torus } T^2 \cong S^2 \cong S^1 \times S^1$

$\cong$  the elliptic curve  $y^2 = 4x^3 - g_2(\tau)x - g_3(\tau)$ .

Two such curves are equivalent up to analytic isomorphism iff they have the same  $j$ -invariant.